

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT

Southern District of New York

07:30 05SEP'19

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EPIQ

In re Lehman Brothers Holdings Inc,

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Klaus-Dieter Haas

Name of Transferee

Union Bancaire Privée, UBP SA

Name of Transferor

Name and Address where notices to transferee should be sent:

Haas Klaus-Dieter, Am Mühlrain 4, 69151
Beckargemünd, Germany
N

Phone: +49 6223 22 05

Last Four Digits of Acct #: _____

Court Claim # (if known): 545221.01

Amount of Claim: \$90,000.00

Date Claim Filed: 10/29/2009

Phone: +41.58.819.3428

Last Four Digits of Acct. #: _____

Name and Address where transferee payments should be sent (if different from above):

IBAN DE43672500200007110693, BIC:
SOLADES1HDB, Sparkasse Heidelberg

Phone: +49 (0)6221 511 30 50

Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Klaus D. Haas
Transferee/Transferee's Agent

Date: 30.08.2019

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **UNION BANCAIRE PRIVEE, UBP SA** ("Transferor") unconditionally and irrevocably transferred to **Klaus-Dieter Haas** all of its right, title, interest claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **545221.01**) in nominal amount of EUR 90'000.- related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").


Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED
THIS 16th September 2019.

UNION BANCAIRE PRIVEE, UBP SA



Securities departement
Managing Director



Securities departement
Associate

Schedule I

Lehman Programs Securities Related to Transferred Portion of Claim:

| ISIN / Description | Court Claim | Date Claim Filed | Issuer | Claim Unsecured | Allowed Unsecured |
|---|--------------------|-------------------------|-----------------------------|------------------------|--------------------------|
| ISIN XS0213629487 Lehman Brothers due 09.03.2009 | 545221.01 | 26th October 2009 | Lehman Brothers Treasury BV | EUR 90'000 | N/A |

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